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DATE MAILED: 11/14/2006

ATTORNEY DOCKET NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR CONFIRMATION NO. 03/25/2004 HITG.062A(0528) 6703 10/809,230 Roy D. Cideciyan **EXAMINER** 62630 11/14/2006 7590 DAVID W. LYNCH NEGRON, DANIELL L CHAMBLISS, BAHNER & STOPHEL ART UNIT PAPER NUMBER 1000 TALLAN SQUARE-H TWO UNION SQUARE 2627 CHATTANOOGA, TN 37402

Please find below and/or attached an Office communication concerning this application or proceeding.

## Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)		
10/809,230	CIDECIYAN ET AL.		
Examiner	Art Unit		
Daniell L. Negrón	2627		

•	LAMITING	Art Offic		
	Daniell L. Negrón	2627		
The MAILING DATE of this communication appe	ars on the cover sheet with the c	correspondence add	ress	
THE REPLY FILED 27 October 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.				
1. The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:				
a) The period for reply expires 3 months from the mailing date of the final rejection.				
b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.				
Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).				
Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  NOTICE OF APPEAL				
2. The Notice of Appeal was filed on A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).				
<u>AMENDMENTS</u>				
3. A The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because  (a) They raise new issues that would require further consideration and/or search (see NOTE below);  (b) They raise the issue of new matter (see NOTE below);				
(c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or				
(d) They present additional claims without canceling a corresponding number of finally rejected claims.				
NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).				
The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).				
5. 🔲 Applicant's reply has overcome the following rejection(s):				
Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).				
7. For purposes of appeal, the proposed amendment(s): a) will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  The status of the claim(s) is (or will be) as follows:				
Claim(s) allowed: Claim(s) objected to: <u>3,5,7-11,14,16,18-22,25,27,29-33,36,38</u> and 40-44.				
Claim(s) rejected: 1,2,4,6,12,13,15,17,23,24,26,28,34,35,37,39,45 and 46.				
Claim(s) withdrawn from consideration:				
AFFIDAVIT OR OTHER EVIDENCE				
3. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will <u>not</u> be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).				
The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will <u>not</u> be entered because the affidavit or other evidence failed to overcome <u>all</u> rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).				
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.  REQUEST FOR RECONSIDERATION/OTHER				
11. The request for reconsideration has been considered but does NOT place the application in condition for allowance because:  See Continuation Sheet.				
12. Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s).				
13. Other:				

Continuation of 3. NOTE: The proposed amendments to claims 7, 18, 29, and 40 present issues that would require further consideration and/or search.

Continuation of 11. does NOT place the application in condition for allowance because: Applicant argues that Dolivo et al fail to teach or suggest "a coefficient learning circuit that adaptively updates coefficients for the equalizer based upon a cosine function." Examiner however respectfully disagrees since the read channel disclosed by Dolivo et al comprises a coefficient learning circuit (45) which adaptively updates coefficients (e.g., Kn) based upon outputs (i.e., Un and Yn, lines 41 and 23 respectively) from a cosine equalizer consisting of a cosine function (Fig. 4, and column 5, line 62 through column 6, line 11 for further details). Therefore, it is considered that Dolivo et al discloses a coefficient learning circuit which updates coefficients based upon a cosine function as claimed.

WILLIAM KORZUCH SUPERVISORY PATENT EXAMINER

TECHNOLOGY CENTER 2600